

PRESCRIBING GUIDELINES, MEDICATION CHOICE & SELF AUDIT IN INTELLECTUAL DISABILITY

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2. The three patient cohorts for prescribing

3. Prescribing guidelines for each

4. Self-evaluation and audit

Background 1: A key paper

 OPEN ACCESS



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Mental illness, challenging behaviour, and psychotropic drug prescribing in people with intellectual disability: UK population based cohort study

Rory Sheehan,¹ Angela Hassiotis,¹ Kate Walters,² David Osborn,¹ André Strydom,¹ Laura Horsfall²

ABSTRACT

OBJECTIVES

To describe the incidence of recorded mental illness and challenging behaviour in people with intellectual disability in UK primary care and to explore the prescription of psychotropic drugs in this group.

DESIGN

($P < 0.001$), and new prescriptions of antipsychotics declined by 4% (3% to 5%) per year ($P < 0.001$) between 1999 and 2013. New prescriptions of mood stabilisers also decreased significantly. The rate of new antipsychotic prescribing was significantly higher in people with challenging behaviour (incidence rate ratio 2.08, 95% confidence interval 1.90 to 2.27;

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- 33000 ID adults, 500+ GP practices
- Proportion of people with ID treated with psychotropics exceed the proportion with recorded mental illness.
- Antipsychotics are prescribed for people with no recorded severe mental illness, but with challenging behaviour

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However, the assumption that a person with ID, on antipsychotics, with no recorded psychosis, means that the prescription must be for challenging behaviour may not be entirely accurate.

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- 9135 pts on antipsychotics, 2362 (26%) had neither challenging behaviour nor a mental illness recorded.
- 71% of those with ID prescribed an antipsychotic had no recorded severe mental illness while 50% of those without ID prescribed an antipsychotic had no recorded severe mental illness.
- Therefore, there is an issue of how psychiatric diagnosis is recorded in case files.

Background 2: Challenges in ID



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- Increased mental health co-morbidity
 - Increased physical health co-morbidity
 - Increased psychosocial disadvantage
-
- Diagnostic overshadowing and under-recognition

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Background 2: Challenges in ID

The challenge of 'challenging behaviour'

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Background 3: Importance of a full diagnostic evaluation

1. Degree of ID
2. Cause of ID
3. Autism
4. Other developmental disorders (eg: ADHD)
5. Mental illnesses
6. Personality disorders
7. Disorders related to substance misuse
8. Physical disorders
9. Trauma and psychosocial stressors
10. Types of behaviours that challenge

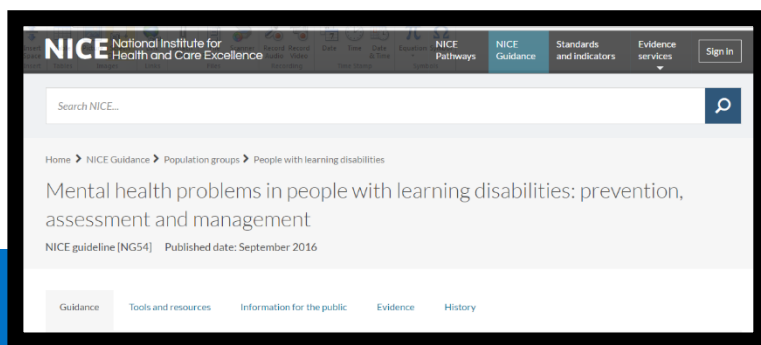
The 3 patient cohorts and prescribing approaches

- Challenging Behaviour clearly associated with a mental illness or disorder
- Challenging Behaviour clearly NOT associated with any mental illness or disorder
- Challenging Behaviour associated with some psychiatric symptoms; but they do not quite fulfil the full criteria for a mental illness or disorder

The screenshot shows the NICE website interface. At the top, there is a navigation bar with the NICE logo and the text 'National Institute for Health and Care Excellence'. The navigation bar includes links for 'NICE Pathways', 'NICE Guidance' (which is highlighted), 'Standards and indicators', and 'Evidence services'. There is also a 'Sign in' button. Below the navigation bar is a search bar with the placeholder text 'Search NICE...' and a magnifying glass icon. Below the search bar is a breadcrumb trail: 'Home > NICE Guidance > Population groups > People with learning disabilities'. The main heading is 'Mental health problems in people with learning disabilities: prevention, assessment and management'. Below the heading is the text 'NICE guideline [NG54] Published date: September 2016'. At the bottom of the page, there is a navigation menu with the following items: 'Guidance' (highlighted), 'Tools and resources', 'Information for the public', 'Evidence', and 'History'.

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- Full diagnostic evaluation
- Treat the mental illness
- The judgement on doses: Balancing the need to 'start low and go slow' with the need to avoid delaying optimal treatment.

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Challenging behaviour and learning disabilities: prevention and interventions for people with learning disabilities whose behaviour challenges

NICE guidelines [NG11] Published date: May 2015

[Guidance](#)[Tools and resources](#)[Information for the public](#)[Evidence](#)

1.8 Medication

1.8.1 Consider medication, or optimise existing medication (in line with the NICE guideline on medicines optimisation), for coexisting mental or physical health problems identified as a factor in the development and maintenance of behaviour that challenges shown by children, young people and adults with a learning disability (see also recommendation 1.10.1).

1.8.2 Consider antipsychotic medication to manage behaviour that challenges only if:

- psychological or other interventions alone do not produce change within an agreed time or
- treatment for any coexisting mental or physical health problem has not led to a reduction in the behaviour or
- the risk to the person or others is very severe (for example, because of violence, aggression or self-injury).

Only offer antipsychotic medication in combination with psychological or other interventions.

1.8.3 When choosing which antipsychotic medication to offer, take into account the person's preference (or that of their family member or carer, if appropriate), side effects, response to previous antipsychotic medication and interactions with other medication.

1.8.4 Antipsychotic medication should initially be prescribed and monitored by a specialist (an adult or child psychiatrist or a neurodevelopmental paediatrician) who should:

- identify the target behaviour

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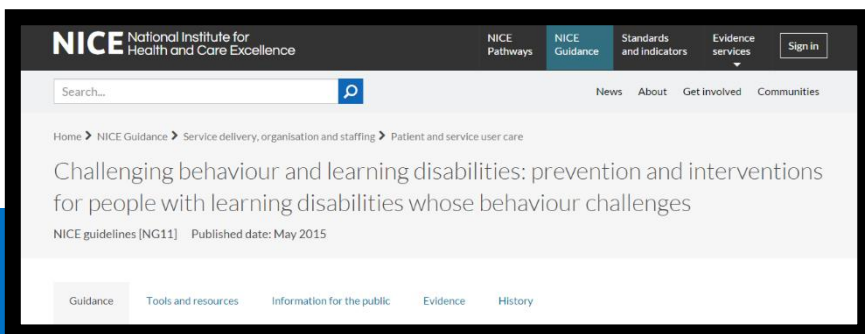
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Strength of evidence for medication and psychological interventions, not very different, in this cohort

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Problems with recording a clear diagnosis in ID (and elsewhere in the mental health field too)

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- There are categories for atypical presentations in all diagnostic systems- ICD, DSM, DMID or DC-LD
- The narrative account of the target symptoms and symptom clusters is important.
- Any prescribing should be based on that narrative account- whether it be syndromal or target symptom/ symptom cluster specific.

1. Cognitive
perceptual

2. Affective
dysregulation

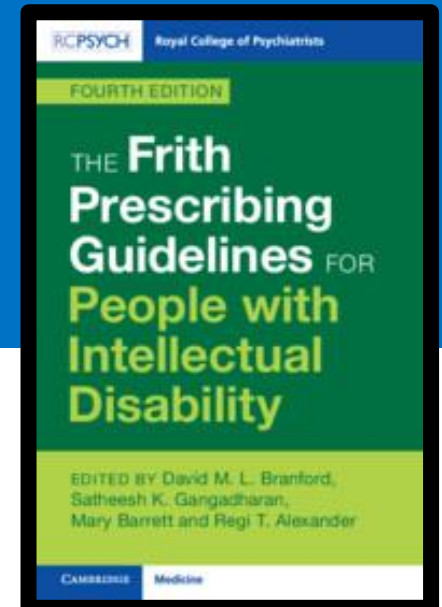
3. Anxiety

5 symptom clusters

4. Behaviour dyscontrol
(sub types)

5. Self injurious behaviour
(sub types)

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- 1. Cognitive perceptual:** (*eg: Chronic, low-level features like ideas of reference, pseudo-hallucinations, persecutory or self-referential ideas, fleeting hallucinations, etc*): antipsychotic medication with pre-defined targets for efficacy, discontinue if ineffective
- 2. Affective dysregulation:** (*eg: Affective instability, mood swings, chronic dysthymia like features, emotional detachment, etc*): antidepressants, mood stabilisers with pre-defined targets for efficacy, discontinue if ineffective
- 3. Anxiety:** (*eg: cognitive and somatic sub-types*): SSRIs

4. Behaviour dyscontrol:

- **Affective aggression** (*characterised by impulsivity, angry outbursts, rapid mood changes and often a normal ECG*): consider antidepressant medication, SSRIs or mood stabilisers as first line.
- **Predatory aggression** (*relatively rare in people with intellectual disability and characterised by hostile and cruel behaviour associated with low emotional or physiological arousal*): consider antipsychotic medication. Although there is randomised controlled trial evidence supporting the use of oral Zuclopenthixol for aggression, clinicians and patients may prefer atypical antipsychotics due to a better side effect profile.
- **Ictal aggression** (*characterised by episodic, stereotyped aggression and often associated with epilepsy or abnormal EEGs*): consider mood stabilising antiepileptics as first line.

5. Self Injurious Behaviour

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1. Extreme tissue damage

2. Repetitive and stereotypic

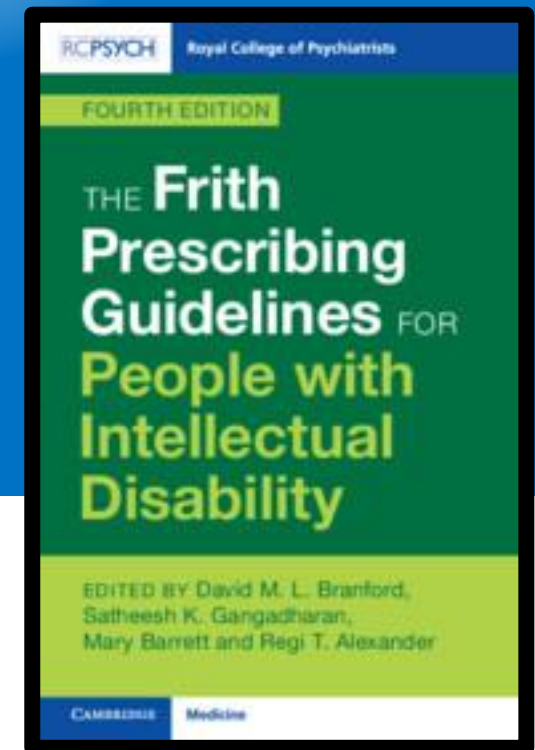
3. Agitation when SIB is interrupted

Self-Injurious Behaviour

4. Heightened anxiety

5. Mixed

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1. Extreme self-inflicted tissue damage	Insensitivity to pain	Opiate	
2. Repetitive & stereotypic	Features of autism	Dopamine	
3. Agitation when SIB is interrupted	Obsessive compulsive behaviour	Serotonin	
4. Heightened anxiety	High arousal (Agitation & SIB co-occur)	Noradrenaline	
5. Mixed	Two or more of above subtype	Multiple	

Off label prescribing

- Part of mainstream medical practice
- Supported, suppositional and investigational
- Examples from paediatrics
- Anticancer drugs
- The NICE guidelines on delirium

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In many of the conditions described earlier



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- Precision of defining the indication
- Diagnostic reliability and validity
- Length of treatment
- Defining end points
- **MORE PROBLEMATIC IN PEOPLE WITH ID**

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Responsibilities of the prescriber (Good practice in prescribing and managing medicines and devices, GMC 2013)

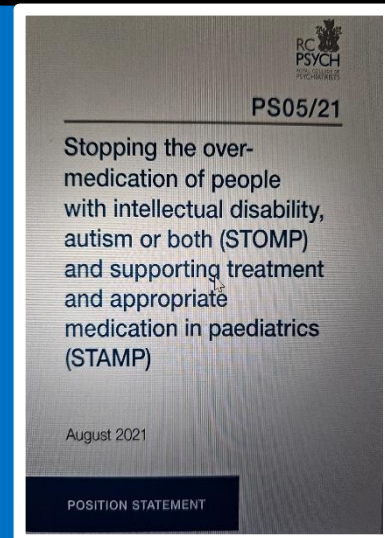
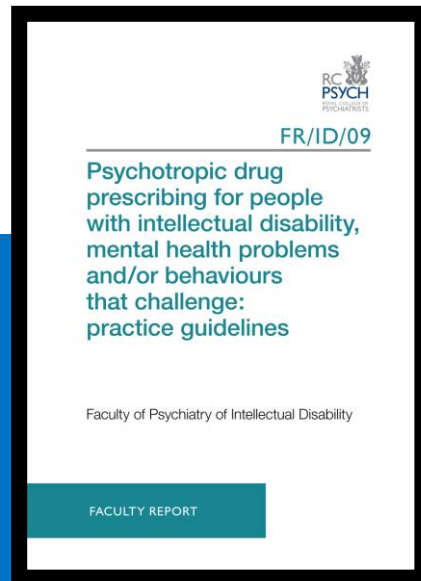
- **Overseeing all aspects of treatment**
 - Record usage carefully
 - Inform parents and carers fully

- Explanation to patients
- Explanation to families and/or carers
- Easy read leaflets and reasonable adjustments

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- The self assessment framework
- Examples of case note entries for medication reviews



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STANDARD 1:

The indication and rationale for prescribing the psychotropic drug should be clearly stated, including whether the prescribing is off label, polypharmacy, or high dose.

1. Is the prescribing part of a wider multidisciplinary care plan?
2. If the prescription is only for behaviour that challenges, are the NICE guidelines being followed?
- 3 & 4. Is there off-label prescribing and if so, is the rationale explained?
- 5 & 6. Is there polypharmacy and if so, is the rationale explained?
- 7 & 8. Is there prescribing over British National Formulary (BNF) advisory maximum limits and if so, is the rationale explained?

STANDARD 2: Consent-to-treatment procedures (or best interests decision making processes) should be followed and documented.

9. Is there evidence of a capacity assessment?
10. If the patient is deemed to lack capacity, is the best interests process followed?
11. Is there evidence that the patient's views about the drug treatment are being recorded?
12. Is there evidence that the carers' or family members' views about the drug treatment are being recorded?
13. If patient is detained (e.g. under the Mental Health Act 1983), are the legal requirements around consent to treatment satisfied?

STANDARD 3: There should be regular monitoring of treatment response and side-effects (minimum every 6 months)*

14. Is there documentation about progress on the target symptoms for treatment?

15. Is there evidence of an objective evaluation of treatment response?

16. Has a standardised tool/ instrument been used for the above?

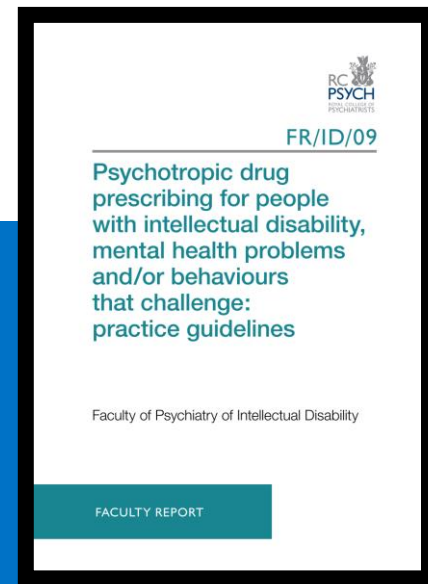
17. Is there evidence of an objective evaluation of side-effects?

18. Has a standardised tool/ instrument been used for the above?

STANDARD 4: Review and evaluation of the need for continuation or discontinuation of the psychotropic drug should be undertaken on a regular basis (minimum every 6 months)*

19. Is there evidence of an objective evaluation of treatment response?
20. Has a standardised tool/ instrument been used for the above?
21. Is there evidence of an objective evaluation of side-effects?
22. Has a standardised tool/ instrument been used for the above?
23. Is there evidence of regular review of the need for continuation or discontinuation of the drug? (This includes discussion of risks and benefits with the patient and/or carer.)

Evaluation: Checking if your treatment works?



Supplement narrative accounts with standardised measures: eg: CGI - easy to administer, quick, can have multiple raters, can capture balance between effects and side effects

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Completed Clinical Global Impression Scale (CGI) of a *Fictitious Patient* (Taken from <https://www.carepatron.com/templates/clinical-global-impression-scale-cgi>)

Clinical Global Impression (CGI)

Name: Samuel Reznor

Date: December 6, 2021

1. Severity of illness

Considering your total clinical experience with this particular population, how mentally ill is the patient at this time?

- | | |
|---|---|
| <input type="radio"/> 0 = Not assessed | <input type="radio"/> 4 = Moderately ill |
| <input type="radio"/> 1 = Normal, not at all ill | <input checked="" type="radio"/> 5 = Markedly ill |
| <input type="radio"/> 2 = Borderline mentally ill | <input type="radio"/> 6 = Severely ill |
| <input type="radio"/> 3 = Mildly ill | <input type="radio"/> 7 = Among the most extremely ill patients |

2. Global improvement

Rate total improvement whether or not, in your judgement, it is due entirely to drug treatment. Compared to his condition at admission to the project, how much has he changed?

- | | |
|--|--|
| <input type="radio"/> 0 = Not assessed | <input type="radio"/> 4 = No change |
| <input type="radio"/> 1 = Very much improved | <input checked="" type="radio"/> 5 = Minimally worse |
| <input type="radio"/> 2 = Much improved | <input type="radio"/> 6 = Much worse |
| <input type="radio"/> 3 = Minimally improved | <input type="radio"/> 7 = Very much worse |

3. Efficacy index

Rate this item on the basis of **drug effect only**. Select the terms which best describe the degrees of therapeutic effect and side effects and record the number in the box where the two items intersect.

EXAMPLE: Therapeutic effect is rated as 'Moderate' and side effects are judged 'Do not significantly interfere with patient's functioning'.

		Side effects			
		None	Do not significantly interfere with patient's therapeutic patient's functioning	Significantly interferes with patient's functioning	Outweighs therapeutic effect
Marked	Vast improvement. Complete or nearly complete remission of all symptoms	<input type="radio"/> 01	<input type="radio"/> 02	<input type="radio"/> 03	<input type="radio"/> 04
Moderate	Decided improvement. Partial remission of symptoms	<input type="radio"/> 05	<input type="radio"/> 06	<input checked="" type="radio"/> 07	<input type="radio"/> 08
Minimal	Slight improvement which doesn't alter status of care of patient	<input type="radio"/> 09	<input type="radio"/> 10	<input type="radio"/> 11	<input type="radio"/> 12
Unchanged or worse		<input type="radio"/> 13	<input type="radio"/> 14	<input type="radio"/> 15	<input type="radio"/> 16
<input type="radio"/> Not assessed = 00					

Additional notes:

We're going to evaluate Mr. Reznor one more time. If there are no improvements, we might have to change their medication.

The Evidence Base Problems

- Very few studies and limited sample sizes
- Ethical issues and the *psychotropic medication paradox* in ID research
- The discrepancy between research samples and patients in your practice.

Key message 1



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THE PRESCRIBERS'.

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Key message 2



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**JUST WRITING “CHALLENGING
BEHAVIOUR” MAY NOT BE
PRECISE ENOUGH AS A
RECORDED INDICATION FOR
PRESCRIBING**

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Key message 3

**RECORD ALL DIAGNOSES
SYSTEMATICALLY AND
THE NARRATIVE THAT
UNDERPINS IT.**

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Key message 4



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**RECORD TARGET SYMPTOMS/
SYNDROMES, HAVE
PROVISIONAL TIMEFRAMES
FOR EVALUATION AND
COMMUNICATE THAT TO ALL
CONCERNED.**

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Key message 5

OFF LABEL PRESCRIBING IS
NOT INAPPROPRIATE,
UNLAWFUL OR UNETHICAL.
HOWEVER, IF NOT DONE
PROPERLY, IT CAN BE.

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Key message 6



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USE A STANDARDISED
OUTCOME MEASURE THAT
CAN BE RECORDED QUICKLY
AND IMPLEMENTED WIDELY

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Key message 7



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AIM TO PRESCRIBE
RATIONALLY. DE-
PRESCRIBING IS PART OF
THAT RATIONAL PROCESS,
NOT AN END BY ITSELF.

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Key message 8



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**LARGE SCALE
NATURALISTIC STUDIES/
NATIONAL AUDITS ARE
URGENTLY NEEDED**

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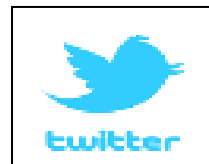
- ✓ Clinicians from over a dozen NHS Trusts
- ✓ Academics from several UK and international universities
- ✓ An advisory group of around 100 including service users, patients, their family members, carers, community leaders and representatives from charities and professional bodies



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